

The American Recovery and Reinvestment Act Exploring Use of the Funds

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American Recovery and Reinvestment Act of 2009 Washington State Passed Through OSPI

	Program	Allocation Estimate	Allocation Received
Education	Title I, Part A	\$135M	\$135M
	School Improvement 1003(g)	\$42.5M	\$0
	Education Technology	\$8.7M	\$8.7M
	State Fiscal Stabilization	\$819.9M	\$549.4M
	Special Education Grants to States	\$221.4M	\$221.4M
	Special Education 619 Preschool	\$8.5M	\$8.5M
	McKinney-Vento/Homeless	\$1.3M	\$1.3M
	SUBTOTAL:	\$1.237B	\$924M
Agriculture	Equipment Assistance	\$1.6M	\$1.6M
	TOTAL:	\$1.239B	\$926M

ARRA: K-12 Reform Assurances

- Standards and Assessments
- Data Systems and Using Data for Improvement
- Effective Teachers and Leaders
- Turning Around the Lowest Achieving Schools

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ARRA: Guiding Principles

- Spend Quickly to Save and Create Jobs
- Improve Student Achievement Through School Improvement and Reform
- Ensure Transparency and Accountability
- Invest one-time funding to minimize “funding cliff”

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ARRA: Spending & Investment

- Planning one-year in advance not enough
- Planning for the next four years is essential
 - 2009-10
 - 2010-11
 - 2011-12
 - 2012-13

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1. Drive Results for Students

Will the proposed use of funds drive improved results for students who are failing, or most at risk of failing, to meet State academic achievement standards (*i.e., Title I-eligible students*), including students living in poverty, students with disabilities, and ELLs?

<http://www.ed.gov/policy/gen/leg/recovery/guidance/titlei-reform.pdf>

Guidance on Uses of ARRA Title I, Part A Funds

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2. Increase Capacity

Will the proposed use of funds increase educators' long-term capacity to improve results for Title I students?

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3. Accelerate Reform

Will the proposed use of funds advance SEA, LEA, or participating Title I school improvement objectives and the reform goals encompassed in the ARRA?

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4. Avoid the “Funding Cliff” and Improve Productivity

Will the proposed use of funds avoid recurring costs that SEAs, LEAs, and participating Title I schools are unprepared to assume when the period of availability of the ARRA funds ends? Given the current state of the economy, will the proposed use serve as “bridge funds” to help transition to more effective and efficient approaches?

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5. Foster Continuous Improvement

Will the proposed use of funds include approaches to measure and track implementation and results and create feedback loops to modify or discontinue strategies that evidence indicates are ineffective in improving achievement of Title I students?

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District 1 (IDEA Example)

District Allocation:

- Regular IDEA funds: \$700,000
- ARRA IDEA funds: \$710,000

District #1 started planning on September 28, 2009. DRAFT plan:

1. Consider hiring a transition coordinator – if we can find one.
2. Might purchase a data management system for student progress monitoring – after a team of special education teachers explores different systems.
3. Hope to schedule staff training activities in fall 2010 .
4. In 2010-11, we will spend all the left-over money on new special education hires.

District 1 (IDEA Example)

Fund Source	Federal FY09 2009-2010	Federal FY10 2010-2011	Federal FY11 2011-2012	Federal FY12 2012-2013
I. Regular IDEA \$700,000	90% of Regular (FY09) \$630,000	95% of Regular (FY10) \$665,000	95% of Regular (FY11) \$665,000	100% of Regular (FY12) \$700,000
II. ARRA IDEA \$710,000	10% of ARRA IDEA (FY09) \$71,000			
III. Regular IDEA Carryover		10% of Regular (FY09) carryover \$70,000	5% of Regular (FY10) carryover \$35,000	5% of Regular (FY11) carryover \$35,000
IV. ARRA IDEA Carryover		90% of ARRA (FY09) carryover \$639,000		
Total Expenditures	\$701,000	\$1,374,000	\$700,000	\$735,000

Risk of district's plan:

Not effectively allocating all resources within the allowable period.

District 2 (IDEA Example)

District Allocation

- Regular IDEA funds: \$700,000
- ARRA IDEA funds: \$710,000

District #2 started planning on February 23, 2009. Involved special education staff, district administrators, Human Resources, and fiscal office. DRAFT plan:

1. Purchase assistive technology for hearing impaired students.
2. Implement coordinated early intervening services (CEIS).
3. Hire a transition coach.
4. Purchase transition curriculum.
5. Make modifications for access in life skills classroom.

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District 2 (IDEA Example)

Fund Source	Federal FY09 2009-2010	Federal FY10 2010-2011	Federal FY11 2011-2012	Federal FY12 2012-2013
I. Regular IDEA \$700,000	96% of Regular (FY09) \$672,000	95% of Regular (FY10) \$665,000	95% of Regular (FY11) \$665,000	100% of Regular (FY12) \$700,000
II. ARRA IDEA \$710,000	73% of ARRA IDEA \$518,000			
III. Regular IDEA Carryover		4% of Regular (FY09) carryover \$28,000	5% of Regular (FY10) carryover \$35,000	5% of Regular (FY11) carryover \$35,000
IV. ARRA IDEA Carryover		27% of ARRA (FY09) carryover \$192,000		
Total Expenditures	\$1,190,000	\$885,000	\$700,000	\$735,000

Strength of district's plan:
Invested in areas that offer short-term, long-term benefits.

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IDEA/ARRA Funding Intentions

- IDEA/ARRA funds are intended to stimulate improvements in the provision of special education services for 2009-10 and beyond
- IDEA/ARRA funds are intended to go away
- IDEA/ARRA funds are intended to improve student achievement and outcomes

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IDEA/ARRA Funding Exposure

IDEA/ARRA funds are being subjected to an unprecedented level of reporting, and are subject to an unprecedented level of public scrutiny.

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Excess Cost Calculator Template

Access the pre-populated template at:
<http://www.k12.wa.us/SpecialEd/default.aspx>

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Maintenance of Effort Template

Access the pre-populated template at:
<http://www.k12.wa.us/safs/TT/tools.asp>

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Title I Fiscal Timeline

Fund Source	Federal FY09 2009-2010	Federal FY10 2010-2011	Federal FY11 2011-2012	Federal FY12 2012-2013
I. Regular Title I	Regular TITLE I, PART A 27 months – August 31, 2011	Regular TITLE I, PART A 27 months – August 31, 2012	Regular TITLE I, PART A 27 months – August 31, 2013	Regular TITLE I, PART A 27 months – August 31, 2014
II. ARRA Title I	ARRA TITLE I, PART A (FY09) – 100% of the total allocation Obligate by September 30, 2011	ARRA TITLE I, PART A (FY09) – 100% of the total allocation Obligate by September 30, 2012		
III. Regular Title I Carryover	Carryover of (FY09) Regular TITLE I, PART A	Carryover of (FY10) Regular TITLE I, PART A	Carryover of (FY11) Regular TITLE I, PART A	
IV. ARRA Title I Carryover		Carryover of ARRA TITLE I, PART A (FY09)	Carryover of ARRA TITLE I, PART A (FY10) (limited time, July through September)	

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District 1 (Title I Example)

District Allocation:

- Title I funds: \$616,631
- ARRA Title I funds: \$251,829

District #1 DRAFT plan:

1. Hire a mathematics coach for each of our Title I schools.
2. Schedule professional development to support our teachers and focused on effective mathematics instruction – aligned to state standards.
3. Purchase supplemental materials for math.

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District 1 (Title I Example)

Fund Source	Federal FY09 2009-2010	Federal FY10 2010-2011	Federal FY11 2011-2012	Federal FY12 2012-2013
I. Regular Title I	85% of (FY09) Regular — \$524,136	65% of (FY10) Regular— \$400,810	85% of (FY11)— \$524,136	100% of (FY12)— \$676,631 (increase in Title I allocation)
II. ARRA Title I	85% of ARRA— \$214,055	85% of ARRA— \$214,055		
III. Regular Title I Carryover		15% Carryover— \$92,495	35% Carryover— \$215,821	15% Carryover— \$92,494
IV. ARRA Title I Carryover		15% Carryover with Waiver— \$62,957	15% Carryover with Waiver— \$37,774	
Totals	\$738,191	\$770,317	\$777,731	\$769,125

Strength of district's plan:
District has made allocations to provide four years of services.

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District 2 (Title I Example)

District Allocation

- Regular Title I funds: \$616,631
- ARRA Title I funds: \$251,829

District #2 DRAFT plan:

1. Support Title I Reading Teachers in each of the Title I schools for this school year.
2. Target regular Title I funds for middle schools in reading and math – based on review of state assessment results.
3. Budget ARRA Title I funds for middle school by hiring a teacher to work with these students in regular math class to support the regular classroom teacher.
4. Professional development for the language arts teachers in providing reading interventions.

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District 2 (Title I Example)

Fund Source	Federal FY09 2009-2010	Federal FY10 2010-2011	Federal FY11 2011-2012	Federal FY12 2012-2013
I. Regular Title I	100% Regular (FY09)— \$616,631	85% of (FY10)— \$524,136	85% of (FY11)— \$524,136	100% of (FY12)— \$616,631
II. ARRA Title I	85% of ARRA— \$214,055	90% of ARRA— \$226,646		
III. Regular Title I Carryover			15% Carryover— \$92,494	15% Carryover— \$92,494
IV. ARRA Title I Carryover		15% of ARRA Carryover with Waiver—\$37,774	10% of ARRA Carryover with Waiver—\$25,183	
Totals	\$830,686	\$788,556	\$641,813	\$709,125

Risk of district plan:
Drop in funds after the third year, may mean drop in staff.

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Title I ARRA Funding Intentions

- Funds are intended to stimulate improvements in services for low-achieving students for 2009-10 and beyond
- Funds are intended to go away
- Funds are intended to improve student achievement

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Title I ARRA Funding

... is available to districts for schools that serve high concentrations of students from families that live in poverty.

... is available to support innovative strategies in Title I schools that improve education for at-risk students, close achievement gaps, and stimulate local economies.

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Title I ARRA Funding

- Use funds on a **short-term basis** for **activities that will have a lasting impact**
- Conducted for 2 years, then terminated
- Examples:
 - New teacher induction programs.
 - Intensive professional development.
 - Attracting effective teachers to Title I schools.
 - Conducting pilots to test educational options in Title I schools that, if successful, may be supported in all schools with other funds in the future.

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Title I ARRA Funding

Consistent with one of the primary purposes of the ARRA, Title I, Part A funds may be used to retain individuals on the payroll or rehire individuals to work on Title I allowable activities.

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Title I ARRA

... is subject to an unprecedented level of reporting.

... is subject to an unprecedented level of public scrutiny.

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ARRA: Title I Waivers

- 14-Day Notice
- Identified Schools or Districts as Supplemental Educational Services (SES) providers
- Offer SES and Public School Choice (PSC) in Step 1
- 20% Set-aside for PSC + SES

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ARRA: Title I Waivers

- 10% District Set-aside for Professional Development
- 10% School Set-aside for Professional Development
- Determining the Per Pupil Amount for SES
- 15% Carry Over Limitation

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School Improvement Grants Proposed Regulations

- **Purpose:** Turn around lowest 5% of schools nationwide.
- **Allocation** for FY 2009-11 (ARRA and FY 2009 funds): \$3.546 billion; \$44 million to Washington.
- **Timelines:**
 - Comments were due to DOE September 25, 2009.
 - Final Regulations published November, 2009.
 - Applications due: January, 2010.
 - Use/delivery of funds final when WA application approved.

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School Improvement Key Components

- New Metrics for identification of persistently low achieving schools
- Identification of Tiers of schools broadening identification to Title I eligible secondary schools
- New funding and accountability guidelines
- New district responsibilities

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Section 1512 Reporting Overview

- ARRA Section 1512 requires additional public reporting on the expenditure of funds at the local level. The following information must be provided by all recipients:
 - Who is receiving ARRA dollars and what amounts?
 - What projects and activities are being funded?
 - What is project completion status and impact on job creation/retention?

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Section 1512 Reporting Basics

- Non-compliance with Section 1512 reports is a violation of the award or grant and could lead to repayment of those amounts
- First report is due by October 10th and each quarter thereafter through September 30, 2011

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Section 1512 Reporting Basics

- All subrecipients must have a DUNS number and current CCR registration
- All subrecipients will report required data to OSPI
- Reporting Form Package in iGrants will be used for all ARRA quarterly reports

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OSPI Priorities

- Improve, enhance, and stabilize K-12 funding
 - 2261/Quality Education Council
- Replace the WASL
- Close the Achievement Gap and lower the dropout rate
- Enhance Career and Technical Education
- Enhance Early Learning

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Leveraging ARRA Monies

- Maximize Formula Driven Funding
 - Longitudinal Data Systems (combine w/ CEDARS)
 - Educational Technology
 - IDEA/Special Education
 - Title I School Improvement
- Maximize Competitive ARRA Monies
 - Race to the Top (RTTT)
 - Investing in Innovations (i3)
- Be Sensitive to Timelines
 - Money must be spent by 9/30/2011

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Investing in Innovation Fund

American Recovery and Reinvestment Act: \$650 million

FY 2010 proposed budget: \$100 million

Grantees: Local educational agencies (LEAs) (including charter school LEAs) and nonprofit organizations working in collaboration with one or more LEAs or a consortium of schools.

Type of Grant: Competitive

Purpose:

•Identify and promote specific educational practices with proven success in improving student achievement .

•Support the development, implementation, replication, and evaluation of promising innovative practices .

Process: The Department will be publishing a notice of proposed priorities, requirements, definitions and selection criteria in the Federal Register and will be inviting public comment. The Department will also make the notice available at www.ed.gov.

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Race to the Top (RTTT)

American Recovery and Reinvestment Act of 2009: \$4.35 billion
Grantees: States, with at least 50% of the award provided to LEAs based upon relative shares of funding under Part A of Title I

Type of Grant: Competitive

Purpose: Reward and incent States to create:

- Conditions for education innovation and reform.
- Achieving significant improvement in student outcomes.
- Implementing ambitious plans in four core ARRA education reform areas.

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RTTT

Proposed Program Requirements:

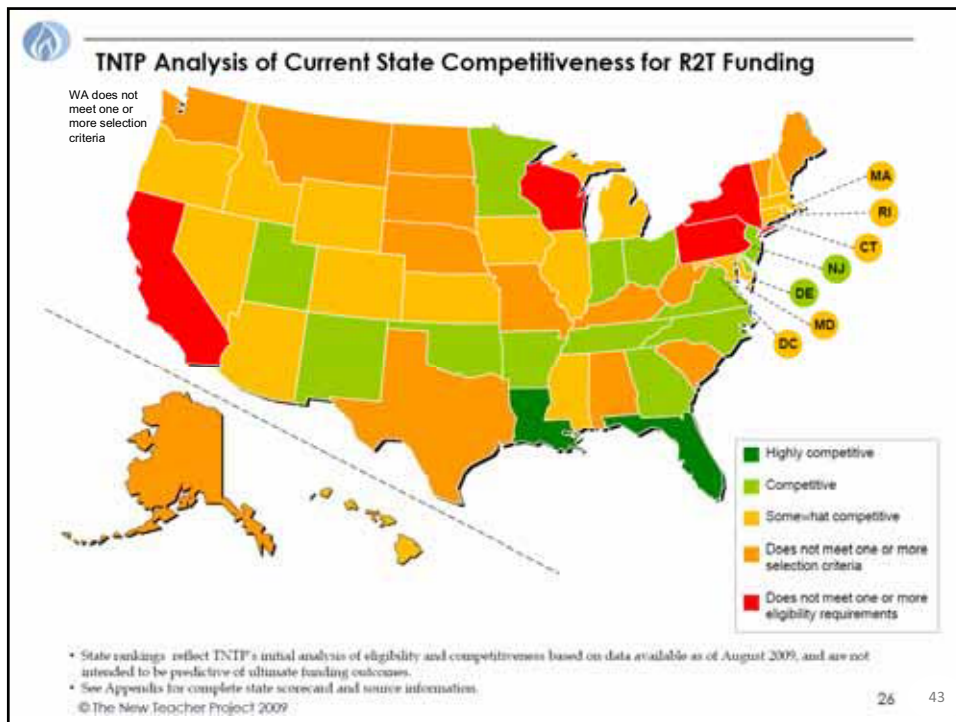
Eligibility Requirements and Absolute Priority

- Approved applications for funding under both Phase One and Two of the State Fiscal Stabilization Fund program.
- No statutory or regulatory barriers to linking data about student growth and achievement to teachers for the purposes of teacher and principal evaluation.
- Comprehensive and systemic approach to reform that integrates all four education reform areas and is designed to significantly improve student outcomes.

Nineteen Selection Criteria

- *State Reform Conditions Criteria:* State demonstrates will and capacity to significantly improve education systems by creating statutory, regulatory, and other conditions conducive to reform and innovation. States will be judged by the extent of their accomplishments in these areas prior to the application deadline.
- *Reform Plan Criteria:* comprehensive strategies that States would develop and implement, together with their participating LEAs, with a goal of improving future student outcomes. States will be judged by the quality of their plans and by the extent to which they have set targets that are ambitious yet achievable.

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Challenges to Washington's RTTT Application

SELECTION CRITERIA:

Section III (c)(2): Differentiating teacher and principal effectiveness based on student growth and using that data for compensation, evaluation, and tenure decisions.

Section III (c)(3): Ensure equitable distribution of effective teachers and principals.

Section III (c)(4): Report effectiveness of teacher and principal preparation programs.

Section III (d)(1 and 3): Intervening in the lowest performing schools.

Section III (d)(2): Promotion of charter schools.

Washington's RTTT Application Plan

- Governor's office, SBE, and OSPI form a steering committee
- Workgroups for Assurances plus Achievement Gap, Dropout Prevention, STEM, and Core 24
- Seeking private funding for consultant help
- Deadline for Round 1 – Mid December 2009
- Solicitation of Proposals and Workgroup Meetings – October 2009

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SFSF Part Two

Key K-12 Reforms

- Raise **standards** and improve **assessments**.
- Build robust **data systems** that track student progress and improve practice.
- Recruit, retain and support **effective educators**, and ensure that they are equitably distributed.
- Turn around **low-performing schools**, focusing on dropout factories and their feeder schools.

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SFSF Part Two: Proposed Reporting Requirements

- Report against a set of indicators under each assurance
 - Mix of confirming existing info and reporting new data
 - Do *not* need to demonstrate progress against indicators
- If unable to report information, states must submit a plan by September 30, 2011
 - Focus on data, not narratives
 - Designed to bring transparency and reveal both strengths and underlying challenges

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ARRA: Spending & Investment

- “Spend the dollars quickly and make thoughtful investments”
- “Save/create jobs and fund educational reform efforts”
- “Look for sustainability and ***avoid the funding cliff***”

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ARRA: Spending & Investment

- Hard work? Yes, and we can do it together.
- Hard work? Yes, and we must be successful.
- We have an ***unprecedented*** opportunity and Washington kids are counting on us.

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Questions?

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WSSDA Annual Conference 2009

Please provide feedback on this session at:

<http://wssda.org/conference/feedback>